

## Exercise Sheet 4

### Assignment 4.1 Memory Consistency

1. Given an execution path for each thread, what property does the hardware (or the model) have if only a single interleaving is possible?  
 strict consistency  
 sequential consistency  
 weak consistency
2. What consistency guarantee does a system with a MESI cache but without store or invalidate buffers give?  
 strict consistency  
 sequential consistency  
 weak consistency
3. A program reaching a state  $S$  (declared variables, values of variables, etc.) on weakly consistent hardware can always reach the same state  $S$  on sequentially consistent hardware. yes no

### Assignment 4.2 Semaphores, Locks, and Monitors

Are the following statements true or false?

- |   | true                     | false                    |
|---|--------------------------|--------------------------|
| 1. A semaphore can be used to implement a mutex.  | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. A mutex is always re-entrant.  | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. A monitor can be used as a mutex.  | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Any deadlock-free program must acquire locks in a fixed order.   | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. When acquiring locks in a fixed order to ensure deadlock-freedom, there is no advantage in releasing them in the opposite order.   | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. The use of which concurrency construct may lead to starvation?<br><input type="checkbox"/> a wait-free algorithm<br><input type="checkbox"/> a lock-free algorithm<br><input type="checkbox"/> a lock where blocking threads are put into a queue<br><input type="checkbox"/> a signal-and-urgent-wait monitor where all waiting threads are tracked in queues |                          |                          |

7. Consider all program points  $p$  with the statement `lock( $a_p$ )` and a lock set  $L_p$ . Which statement is true?

- The program is free of deadlocks if  $a_p$  is a lock and  $a_p \in L_p$ .
- The program may have a deadlock if  $a_p$  is a lock and  $a_p \in L_p$ .
- The program will deadlock if  $a_p$  is a lock and  $a_p \in L_p$ .
- The program is free of deadlocks if  $a_p \in L_p$  implies that  $a_p$  is a monitor.

8. Consider the program  $P$  whose synchronization between its two threads is given by the following two program fragments. According to the definition of a deadlock

```

wait(A);
if (rnd()) {
    wait(B);
    if (rnd()) {
        wait(C);
        // compute
        signal(C)
    }
    signal(B);
}
signal(A);

wait(B);
if (rnd()) {
    wait(C);
    if (rnd()) {
        wait(D);
        // compute
    }
    signal(B);
    signal(C);
    signal(D);
}

```

- $P$  may deadlock. There exists a lock order between the locks.
- $P$  may deadlock. There exists no lock order between the locks.
- $P$  cannot deadlock. There exists a lock order between the locks.
- $P$  cannot deadlock. There exists no lock order between the locks.

9. By recording an interleaving of a program at runtime, we observe the following: Thread 1 releasing a lock  $A$  is descheduled and another Thread 2 is scheduled that then executes holding the same lock  $A$ .

- This behavior should never happen since it violates the mutual exclusion property, so there must be an error in the program.
- The lock is a signal-and-urgent-wait monitor.
- The lock must be a signal-and-continue monitor.

### Assignment 4.3 Deadlocks

Consider the following four functions:

```

1  f() {
2  ...
3  wait(A);
4  u();
5  signal(A);
6  ...
7  }

8  g() {
9  ...
10 wait(A);
11 v();
12 signal(A);
13 ...
14 }

15 u() {
16 ...
17 wait(B);
18 wait(C);
19 ...
20 signal(C);
21 signal(B);
22 ...
23 }

24 v() {
25 ...
26 wait(C);
27 wait(B);
28 ...
29 signal(B);
30 signal(C);
31 ...
32 }

```

1. Additionally, we are given a main function that runs **f** and **g** in parallel:

```
33 main() {  
34     f(); || g();  
35 }
```

Can this possibly cause a deadlock? If not, try to prove it using the *freedom of deadlock* theorem.

2. Assuming there is no possible deadlock, how can we change the main function in a simple way to render a deadlock possible?
3. Finally, we change the main function so that it runs **f** and **g** sequentially:

```
36 main() {  
37     f();  
38     g();  
39 }
```

Obviously, no deadlock can occur (no parallelism and no lock is acquired multiple times without releasing it in between). Again try to prove this using the *freedom of deadlock* theorem.